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|  | **पंजीकृतकार्यालय :शक्तिसदन, कोटलारोड़, न्यूदिल्ली-110002**  (Regd. Office Shakti Sadan, Kotla Road, New Delhi-110002)  **कार्यालयउपमहाप्रबंधक (एस.ओ.)**  **Office of Dy. General Manager (SO)**  **एसएलडीसीबिल्डिंग, मिंटोरोड़, न्यूदिल्ली-110002**  SLDCBuilding, Minto Road, New Delhi-110002  Ph: 23221149 FAX No.23221012 | |
| **No. F./DTL/207 )/2011-12/DGM(SO)/45** | | **Dated : 21.02.2012** | |

**To**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Sr. No. | Name & Desig. of the Committee Member | Utility | Address | Tele. No. |
| **1** | **Sh. Raj Bhartiya**  Executive Director  Chairperson | DTL | Shakti Deep Building, DTL, Jhandewalan Ext, New Delhi-110055 | 9999533609 |
| **2** | **Mrs. Anjali Chandra**  Executive Director (Engg.) | DERC | Viniyamak Bhawan, DERC, Malviya Nagar, New Delhi-110017 | 9312212314 |
| **3** | **Sh. Bhupender Nath**  G. M. (Commercial) | DTL | IP Estate, New Delhi-110002 | 9999533628 |
| **4** | **Sh. Roop Kumar**  G.M. (SLDC) | DTL/  SLDC | SLDC Building, Minto Road, New Delhi-110002 | 9999533629 |
| **5** | **Sh. S.M. Verma**  G.M. | PPCL | Pragati Power Station, Ring Road, New Delhi-110002 | 9717694846 |
| **6** | **Sh. Surrender Babbar**  Dy.G.M.(Finance)-I | DTL | Shakti Sadan, Kotla Road, New Delhi-110002 | 9999533621 |
| **7** | **Sh. S.K. Sharma**  Dy.G.M. (Metering & Prot) | DTL | Park Street 220kV Grid S/Stn  Near RML Hospital, New Delhi-01 | 9999533640 |
| **8** | **Sh. Ashis Kumar Dutta**  Addl.G.M. (PMG) | NDPL | Grid Sub-Station Building Hudson Lane, Near Kingsway Camp, Delhi-09 | 9871798566 |
| **9** | **Sh. Ajay Kumar**  Addl. V.P. (PP&PMG) | BRPL | Building. No.20, Nehru Place, New Delhi-110049 | 9350683910 |
| **10** | **Sh. Sunil Kakkar**  Asstt. Vice President (PMG) | BYPL | BYPL, Shakti Kiran Building, Karkardooma, Delhi-110092 | 9312147042 |
| **11** | **Sh.V. Venugopal**  Dy.G.M. (SO)- **Convener** | SLDC | SLDC Building, Minto Road, New Delhi-110002 | 9871093902 |

**Subject : Meeting Notice the 2nd meeting of the Committee constituted to formalize guidelines for implementation of Intrastate Open Access in Delhi.**

Dear Sir, / महोदय

The 2ndmeeting of the Committee constituted to formalize guidelines for implementation of Intrastate Open Access in Delhi is scheduled to be held on 28thFeb 2012 at 3.00hrs.in the **Conference Hall, SLDC Building, 33kV Minto Road Grid S/Stn. New Delhi-110002.**

In the 1st meeting held on 08-07-2011 deliberations & discussions various issues raised on the draft procedure &Regulation on Open Access for to implementation of Intra State Open Access in Delhi. All Stakeholders are requested in the meeting to forward the suggestion & any amendment needs in draft procedure and in the regulation to make & implement the effective procedure in this regard.

In this regard suggestion received from BRPL, NDPL & PPCL. Mainly suggestions / observations are regarding different applicable charges on Open access Customer such as

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Additional Surcharge, Cross Subsidy surcharge, Stand by charge, Imbalance Charge etc. etc. are to be defined clearly by the State Commission before Open Access Customer go for Intra State Open Access.

As the Regulation on Open Access, 2005 notified by the State Commission on 3 Jan 2006 prior to implementation of Intra State ABT in Delhi need to be amended after taking care of various commercial issues raised by Utility related with it.

Further there are various new challenges to implement new Regulation related to Renewable Energy, SLDC fees & charges, POC mechanism etc. Commission should bring out with amended Intra State open access Regulation to implement the same in effective manner. However it is under stood that State Commission already is in the process of revision of Open Access Regulation for Intra State Transactions.

SLDC received various query & application from Intra state Customers (DJB, Asian Hotel & Renewable generator) intended to avail the Intra state Open access.

Commission vide their letter no F.17(17)/Engg./DERC/2011-12/3057/6312 dated 15.02.12in this regard address to GM(SLDC) sought to finalization the guideline for Open access & submitted the same upto 28.02.12.

In this regard, SLDC once again put a draft procedure in the above said meeting for fulfillment of provision 10(4) of the Regulation with regard to open access after due consideration of various suggestions / observations received from the member of committee in the 1st meeting held on 8thJuly 2011& enclosed as annexure-1 to 3

Suggestion received from BRPL, NDPL & PPCL are enclosed as Annexure 4 to 06

Members of the committee are requested to kindly go through the same & help in to finalize the same before its submission to State Commission.

All are requested to attend the meeting.

Thanking you,

भवदीय / Yours faithfully

**(उपमहाप्रबंधक (एस.ओ.)/**Dy. G. M. (SO)

**Convener**

To : Members of the committee.

Copy for favour of kind information to :-

1 CMD, DTL

2 CEO, BRPL, BSES Bhawan, Nehru Place, New Delhi-110019

2 CEO, BYPL, Shakti Kiran Bldg., Karkardooma, New Delhi-110092

3 MD, NDPL, 33kV Grid S/Stn, Hudson Lane, Kingsway Camp, Delhi-110009

4 MD, IPGCL/PPCL, Himadri, Rajghat Power House, New Delhi-02

5 Director (Operations), DTL

6 Director (Finance), DTL

7 G.M. (O&M), DTL

8 Joint Secretary (Power), Govt. of NCT of Delhi

9 Sh. Anant Mishra, G.M.(Project), Timarpur – Okhla Waste Management Company Pvt Ltd, Jindal ITF Centre, 28, ShivajiMarg, New Delhi-110015, Fax No. 011-45021982

Annexure-1

**DRAFT PROCEDURE FOR IMPLEMENTATION OF OPEN ACCESS IN DELHI**

**PROCEDURES / GUIDELINES FOR SHORT TERM OPEN ACCESS**

**1.Preface:**

1.1 This procedure for Short Term Open Access (STOA) is being issued in compliance to the “Delhi Electricity Regulatory Commission (Terms and conditions for Intra State Open Access) Regulations, 2005” dated 3rd January, 2006, and subsequent amendments thereof, if any, hereinafter referred to as “Principal Regulations” or “ the Regulations”.

1.2 This procedure covers guidelines, terms and conditions and application formats for availing Intrastate short term open access of Transmission and/or Distribution system of the licensee(s)

1.3 This procedure, terms and conditions and charges will also be applicable to embedded Open Access customers who use transmission and/or Distribution system in conjunction with the Central Transmission System through bi- lateral or collective transactions through power exchange, in line with DERC and/or CERC regulations for Short Term Open access, as amended from time to time.

1.4 This procedure shall be applicable for reservation of Transmission and Distribution capacity for short term sale or purchase of power by Open Access customer or existing consumers of Distribution licensees as well as bulk consumers/CPPs/IPPs or a state utility or an intrastate entity as a buyer or seller as entitled to avail short term Open Access under Open access Regulations, referred to as „Short Term Open Access Customer‟ or „Customer‟ herein after.

1.5 The procedures along with requisite formats as described herein, shall also be available on SLDC web site “www.sldcdelhi.org”.

**2. Mandatory Requirements:**

2.1 The following eligibility requirements /pre-conditions are required to be fulfilled by the Open Access customer before applying/availing the Short Term Open Access (STOA):

1. **Eligibility Requirements:**

The eligibility requirements/conditions for grant of STOA shall be as laid down in the

Principal Regulations, as amended from time to time, read with following requirements:

1. **Availability of spare transmission & distribution capacity:**

The short term customer shall be eligible for STOA over the surplus capacity available on the intra-State transmission system/distribution system after use by the long term customers and the medium term customers, by virtue of

1. Inherent Design Margins;
2. Margins available due to variation in power flows; and
3. Margins available due to in-built spare transmission / distribution capacity created to cater to future load growth. Provided that construction of a dedicated transmission line/distribution system shall not be construed as augmentation of the transmission system/distribution system for the purpose of grant of Short Term Open Access.
4. **Contract Demand (CD) & voltage Level**

The STOA shall be permissible to a customer having demand of 1 MW and above (except generating plants), connected at 11 KV or above. However, all the generating plants will be allowed open access for wheeling of power.

For the consumers of distribution licensee, the demand in MW shall be computed based on sanctioned CD and Power factor as 0.9

For allowing STOA for purchase of power above contract demand, the specific permission of distribution licensee to draw the open access power above the sanctioned contract demand is required.

1. **Connectivity**

The consumer/buyer or generating station/sellers seeking STOA should be connected to Transmission/Distribution System of STU/Distribution Licensees at 11KV or above.

An Open Access Customer shall be eligible to obtain connectivity at the voltage level specified in the Conditions of Supply of the licensee approved by the Commission, unless already connected, and shall apply for connectivity in accordance with the State Grid Code/Principal Regulations.

1. **Feeder status/category supplying power to customer:**

Open Access shall be allowed on all feeders except feeders serving mixed loads of urban / industrial consumers Provided that, the customers connected to mixed industrial feeders, shall be allowed open access subject to the condition that they agree to roistering restrictions imposed by the utility on such feeders.

1. A person having been declared insolvent or bankrupt or having outstanding dues against him for more than two months billing of distribution/transmission licensee at the time of application shall not be eligible for open access. Provided that, if the dispute regarding outstanding dues is pending with any Forum or Court and stay is granted by the competent authority, in that case the person shall be eligible for seeking open access.

**vi)** In case of Generators, besides fulfilling the connectivity requirement to Licensees system, they shall also be required to furnish copy of compliance report to the feasibility clearance issued by STU or Distribution Licencees, as applicable,along with any other statutory clearance.

**2.2** On meeting the mandatory eligibility requirements, the applicant shall be issued the approval for grant of Short Term Open Access/NOC/Standing clearance/concurrence whichever is applicable by the Nodal Agency.

There after,the following pre-conditions are required to be fulfilled by the Open Access applicant:-

**i) Metering Requirements:**

1. The Open Access Customer shall provide ABT compatible Special Energy Meters at the point(s) of injection and point(s) of drawl if not already provided. Special Energy Meters installed shall be of the make approved by STU/Distribution Licencees and shall be capable of time-differentiated measurements for time-block-wise active energy and voltage differentiated measurement of reactive energy in accordance with the State Grid Code.

The Open Access Customer shall provide Main Meters on its premises as specified in the State Grid Code. The distribution licensee shall provide along with Main Meters, Check Meters of the same specifications as Main Meters at the point(s) of injection and point(s) of drawl.

1. An Open Access Customer may request distribution licensee to provide Main Meter(s). In that case he shall pay security to distribution licensee and shall also pay rentals as per Schedule of General Charges for the Main Meter(s) which shall be maintained by the distribution licensee.
2. The meters shall be duly tested and sealed in the presence of STU/Distribution licensees / Consumer and shall also be check/tested at site by enforcement wing of the distribution licensee/STU after installation.
3. All open access customers shall abide by the Central Electricity Authority (installation and operation of meters) Regulations, 2005.
4. The Main and Check Meters shall be periodically tested and calibrated by State Transmission Utility / distribution licensee as per State Grid Code requirement.
5. The Metering guidelines to be followed by Open Access customer shall be as per enclosed format Annexure-3**.**

**ii) Communication Facility:**

Main and Check Meters shall have facility to communicate their readings/data to the State Load Dispatch Centre (SLDC) on real time basis. In case of generators/sellers and open access customers (non-consumers of DTL), the facilities/equipments for communication/transfer of metering parameters to SLDC control room on real time basis through two independent channels is to be provided by them at their cost at their end.

**iii) Control Room :**

To communicate with SLDC & ALDC, an Open Access Customer shall be required to provide a round the clock control room at its premises with following facilities:

1. Telephone/Mobile with STD.
2. Transmission and receipt of fax and e-mail.

**2.3 SLDC/STU/Distribution licensees** reserves right to deny open access to customers or withdraw it any time in case of not fulfilling any of the eligibility conditions like change of feeder status, payment defaults, etc or otherwise due to any technical/operational constraints. Reasons for such denial/withdrawal shall be immediately conveyed to the customer.

**3 Procedure for Submission of Application:**

**3.1 Application Format**

The application for approval of STOA shall be made in the prescribed appropriate format/form(Formate-ST1) for applying Open Access which shall be submitted to the Nodal Agency in accordance with the Regulations and the procedures

3.2 **Documents required:**

The application shall accompany the following documents:

1. **Application Fee:**

The application shall be accompanied by a non-refundable application fee, specified as under, through DD in favour of  **Officer/SLDC**, payable at Delhi

1. Intrastate bi-lateral STOA
2. When location of drawl & injection point within same distribution licensee i.e. without involving STU. @ Rs 2000/ application
3. When location of drawl & injection point in the intrastate transmission system within the State i.e. involving STU. @ Rs 5000/ application
4. Inter- state STOA (Collective transaction/ bilateral)@ Rs 5000/ application
5. **Self-attested documents:**
6. Copy of sanctioned Load and CD.
7. Copy of latest energy bill issued by distribution licensee, in case customer is a consumer of distribution licensee.
8. Copy of stay granted by the competent authority, in case of disputes regarding outstanding dues pending with any Forum or Court.
9. DERC clearance in case of Power producers/ CPPs/Generators using NRSE fuel(s).
10. Feasibility clearance and connectivity details with transmission/distribution licensee in case of generators or a customer who is not a consumer of the Distribution licensee.
11. Single Line Diagram of the electrical system showing details of metering equipments installed.

**iii) Undertakings by the firm regarding**

1. Undertaking regarding having not been declared insolvent or bankrupt
2. Undertaking having no outstanding dues against the firm for more than two months billing of distribution/transmission licensee at the time of application.
3. Undertaking to accept rostering restrictions imposed by the utility in case of mixed industrial feeder.
4. **Undertaking for Payment Security:**

In case of sellers/generators and Open Access customers (other than the consumers of the licensee), the applicant for open access will also be required to give an undertaking to open an irrevocable Letter of Credit in favour of the agency responsible for collection of various charges for the estimated amount of various charges for a period of two months in line with payment security mechanism guidelines as per Principal Regulations, as amended from time to time.

1. **Undertaking for Acceptance to Terms & Conditions**:

An Open Access Customer shall also be required to submit a signed undertaking cum self attested certificate on a legal paper (Non judicial stamp paper worth Rs100/-)towards acceptance to the terms and conditions for short term Purchase/sale of Power through Open Access, as per formate -6.

**3.3** All applications for approval of Short term Open Access complete in all respects in duplicate, shall be submitted in the following office addressed to: - State Load Despatch Centre, SLDC Building, 33KV Sub Station,Minto Road, Delhi-110002. (E-mail: “dtldata @ gmail.com”)

**3.4** The application complete in all respect on receipt shall be duly acknowledged and shall be allotted application Sr No. indicating date of receipt. Date of receipt of application complete in all respects in SLDC, Delhi shall be considered as the date of application.

**3.5** Incomplete applications shall be liable for rejection. The reasons for rejection shall be

communicated to the applicant.

**4.0 PROCESSING/APPROVAL OF APPLICATION**

**4.1** **Consent by Distribution Licensee**:

On receipt of application, SLDC shall forward one set of application to the office for verification of field data/information and seeking consent from the nodal office of the Distribution Licensee

**4.2 Verification of Feeder status and Field data by Distribution Licensees:**

On receipt of application from SLDC, the Distribution Licensees nodal office shall verify the information and seek confirmations/field data from concerned official as under:-

1. Certificate regarding feeder category status and copy of latest sketch of feeder
2. Confirmation regarding outstanding dues against the firm for more than two months and detail of stay granted by Court/Forum, if any.
   1. **Time Frame:**
3. The maximum processing time for verification of field data and subsequent initial consent to SLDC by the designated office of Distribution Licensee on the Formate5, **shall be within 12 working days,** from the date of receipt of application from SLDC.
4. Subject to receipt of consent, as per (i) above, the time frame for conditional approval, NOC/Standing clearance, concurrence/consent by the SLDC shall be;

* 7 working days in case of first time transaction.
* 3 working days on subsequent transactions

1. In case of incomplete or defective application, SLDC shall communicate the deficiency or defect to the applicant by e-mail or fax, or any other usually recognized mode of communication, within two (2) working days of receipt of application. In such cases, the date of receipt of application shall be the date on which the application has been received duly completed, after removing the deficiency or rectifying the defects, as the case may be.

Note: a) The above time lines are applicable in case of the total drawl including open access is less than or equal to the sanctioned contract demand.

b) The consent given by Distribution Licensee to SLDC for approval of STOA shall be **valid for One year** from the date of its issue, for the purpose of issuing NoC/Standing Clearence/Concurrence/consent by SLDC. The Distribution Licenseeshall withdraw its consent given to SLDC in case of any violations in the mandatory/eligibility requirements of the OA customer , as specified under Clause 2.0 above, which shall be duly intimated to SLDC by Distribution Licencees

.

**4.4** Subject to fulfilling the mandatory requirements, receipt of timely consent of Distribution Licencees and availability of spare transmission/distribution capacity without jeopardizing the safety of the grid, the case for grant of Open Access to the Short term customers shall be decidedby the nodal agency i.e. SLDC.

**4.5** Subsequent to the decision by the nodal agency (SLDC), the conditional approval for grant of the Short term Open Access shall be conveyed to the Customer with a copy to Nodal Office of ALDC and concerned Grid official by ALDC of Distribution Licensees.

**5.0 Compliance of conditions and issue of NOC/Standing Clearance/Concurrence**

The Open Access customer shall comply with the conditions laid down in the conditional approval issued by the Nodal Agency (SLDC) and submit, meter test reports issued by Concerned Authority along with site installation report indicating CT/PT ratio details & ABT meter data and the point wise compliance report of Metering Guidelines duly signed and issued by concerned official. Simultaneously, the customer shall intimate the intended date(s) of transaction to SLDC as per the prescribed formats for seeking NOC/Standing Clearance/Consent, as the case may be, along with Copy of MoU /agreement for sale /purchase of Open Access power, if applicable,and any additional information or documents required to be submitted as per the letter issued by SLDC conveying the conditional approval.

**6. ISSUE OF APPROVAL/CONSENT/NOC/ STANDING CLEARANCE/ CONCURRENCE**

6.1 On receipt of the compliance of conditions and information as per Clause 5.0 above, SLDC shall allot a unique Open Access ID No. (Account Number) to the customer, which shall be mentioned by the customer in all future requests for transactions/correspondence.

6.2 After verification of compliance of various conditions and receipt of additional documents, if any the Nodal Agency shall allow to operationalize the Short term Open Access, as per the request of the Open Access customer, from the intended date of start and convey as under:-

1. If the Open Access is not approved due to any reason, the customer shall be informed accordingly.

ii) In case of Open Access transaction through power exchange, NOC/Standing clearance shall be issued and conveyed to the Power Exchange with a copy to customer and Distribution Licensees.

iii) In case of bilateral interstate transaction, concurrence shall be given and conveyed to Nodal RLDC with copy to customer and Distribution Licensees.

iv) Consent / Approval for intrastate Open access transaction shall be issued and conveyed to the customer with copy to Distribution Licensees.

6.3 Subsequently, the customer shall apply for NOC/Standing clearance, concurrence, consent, as the case may be, from the SLDC, maximum up to a period of one month period. 3 days in advance, along with requisite application fee, as per Clause 3.2 (i) herein above.

6.4 In case of refusal of NOC or standing clearance or concurrence or consent , as the case maybe, on the specified grounds like non availability of surplus transmission or distribution capacity or any operational constraints, such refusal shall be conveyed to the applicant, by e-mail or fax, or any other usually recognized mode of communication, within three (3) or seven (7) working days, as the case may be, from the date of receipt of application.

6.5 In case of any operational constraints or congestion is anticipated in any of the transmission/distribution corridor, it shall also be immediately conveyed by STU/Distribution Licensees to the SLDC as well as Short term Open Access Customer including the reduced transmission /distribution capacity which can be offered for Open access. The concerned applicant must inform the nodal agency, the acceptance of reduced Open Access capacity within 24 hours except in case of Day Ahead (upto 1 day) Open Access customer who shall inform within 2 hours. In case of non-receipt of revised information in time, it will be presumed that the applicant is no longer interested in revising it and SLDC will process the application accordingly

NOTE:

1. The application for approval, NOC/Standing clearance, concurrence,may be sent to designated office under SLDC through fax or e-mail as a pdf format attachment.
2. The Application Fee for each bilateral transaction or the collective transaction shall be accompanied by a non refundable Application fee in the form of DD in favour of SLDC, payable at Delhi or through electronic transfer of funds (RTGS/NEFT) in favour of SLDC, Delhi at specified Account nos.
3. SLDC will seek consent of Distribution Licensees one month before expiry of earlier consent for issue of NOC/Standing Clearance/Concurrence as the case may to the Open Access customer.

6.4 **Procedure for Short Term Open Access**

1) **Involving inter-State transmission system:**

Notwithstanding anything contained in clauses (2) to (3) herein below, procedure for inter-State short-term Open Access shall be as per Central Electricity Regulatory Commission (Open Access in inter-State Transmission) Regulations, 2008, or its statutory re-enactments, as amended from time to time: Provided that in respect of a consumer connected to a distribution system seeking interstate short-term open access, the SLDC, before giving its consent to the RLDC as required under the CERC regulations, shall obtain the consent of the distribution licensee concerned.

2) **Without involving inter-State transmission system:**

Subject to the provisions of sub-regulation (1) herein above, intra-State short-term Open

Access shall be in accordance with the provisions of clause (a) to (h) herein below:

**(a) Open Access in advance**

1. Application may be submitted to the SLDC seeking short-term open access upto the second month, considering the month in which an application is made being the first month.
2. Separate application shall be made for each month and for each transaction in a month.
3. The application to the SLDC shall be on the prescribed Form containing such details as capacity needed, generation planned or power purchase contracted,point of injection, point of drawl, duration of availing open access, peak load,average load and such other additional information as may be required by the Nodal Agency (SLDC). The application shall be accompanied by a nonrefundable application fee as prescribed in Sr.No.3.2(i)above
4. An application for grant of open access commencing in any month may be submitted in a cover marked “Application for Short-Term Open Access – in advance” upto 15th day of the preceding month. For example, application for grant of open access commencing in the month of Aug shall be received upto 16th day of July.
5. SLDC shall acknowledge receipt of the application by indicating time and date on “ACKNOWLEDGEMENT” to the applicant.
6. A consumer of distribution licensee intending to avail open access shall also furnish a copy of his application to the concerned official of the distribution licensee.
7. Based on the type of transactions SLDC shall take a decision on the applications for short-term open access in the manner provided herein below.
8. All applications received under sub-clause (iv) above shall be taken up for consideration together and processed as per allotment priority criteria specified under Regulation 8(1) to 8(4) of Principal Regulations, as amended from time to time.
9. SLDC shall check transaction for congestion of any element (line and transformer) of transmission and distribution system involved in transaction.
10. SLDC shall convey grant of open access or otherwise along with schedule of payments to the consumer latest by 19th day of such preceding month.
11. SLDC shall assign specific reasons if open access is denied under sub clause (x)

**(b) Open Access on first come first served basis**

Applications for open access for the second month, received after the date specified in Sr. No. 2(a)(iv) above and the applications received during the first month shall be considered on first come first served basis. Provided that such applications shall reach the Nodal agency at least four days in advance of the date of the open access transaction. All these applications shall be

processed and decided within three days of their receipt. For example: The application for open access commencing from 10thAug shall be submitted by 5th Aug by 5 PM.

**(c) Day-Ahead Open Access**

(i) An application for grant of day ahead open access may be received by SLDC three days prior to the date of scheduling but not later than 1300 hours of the day immediately preceding the day of scheduling for such transaction. For example, application for day-ahead transaction on 25th day of July shall be received on 22nd day or 23rd day or upto 1300 hours on 24th day of that

month.

(ii) SLDC shall check for congestion and convey grant of approval or otherwise by 18:00 hours of the day immediately preceding the day of scheduling. All other provisions of application for short-term open access shall apply.

**(d) Bidding Procedure/Congestion Management**

1. If the capacity sought by the consumers for Open Access in advance for the following month is more than the available capacity or SLDC perceives congestion of any element of transmission and distribution system involved in the transaction, the allocation shall be made through electronic bidding procedure.

NOTE: Till SLDC establishes infrastructure for electronic bidding, such applications shall be dealt on first come first serve basis.

1. The decision of SLDC in respect of an expected congestion shall be final and binding.
2. SLDC shall convey information of congestion and decision for invitation of bidding indicating floor price through an email/SMS notice, to the applicants
3. SLDC shall also display bidding information on its website.
4. The floor price of transmission and wheeling charges determined on the basis of relevant order of the Commission shall be indicated in the notice.
5. The bids shall be accepted on the prescribed format, to be notified by SLDC, and shall be received up to the scheduled “bid closing time” as indicated in bidding invitation notice. Modification / amendment to a bid, once submitted shall not be entertained.
6. If any consumer does not participate in bidding process, his application shall be deemed to have been withdrawn and shall not be processed.
7. SLDC shall not entertain any request for extension of time/date for submission of bids.
8. The bidders shall quote price in terms of percentage points above the floor price;
9. The quoted price shall be arranged in descending order and allocation of available capacities shall be accorded in such descending order until the available capacity is exhausted.
10. In case of equal price quoted by two or more customers, the allocation from the residual available capacity at any stage under sub-clause (x) above shall be made in proportion to capacity being sought by such customers.
11. Open Access customers in favour of whom full capacities/partial capacities have been allotted shall pay the charges quoted by each of them in the course of bidding process.
12. SLDC shall reject bids which are incomplete, vague in any manner or not found in conformity with bidding procedure.
13. The successful bidder, in favour of whom the capacities have been allocated, shall pay transmission charges, wheeling charges, as the case may be, determined by bidding under sub clause (xii) above.

**e)** The reserved capacity by a short-term open access consumer is not transferable to others.

**f)** The capacity available as a result of surrender or reduction or cancellation of the reserved capacity by the SLDC, may be reserved for any other short-term open access customer in accordance with the Principal Regulations.

**g)** During peak load hour restrictions, the open access customers shall restrict their total `drawl including open access power to the extent of the peak load exemption allowed.

**h)** On expiry of the period of the short-term open access, the short-term customer shall not be entitled to any overriding preference for renewal of the term.

**3) Within same distribution system:**

The procedure specified in clause (2) above, mutatis mutandis, shall apply to cases of short-term open access when the point of injection and the point of drawl are located in the area of the same distribution licensee.

7**Revision of Schedule**:

**7.1** The short-term open access schedules accepted by SLDC in advance or on first come first served basis, may be cancelled or revised downwards on an application to that effect made to the SLDC by short term open access customer; provided that such cancellation or downward revision of the short term open access shall not be effective before expiry of two (2) days excluding the date of receipt of application and date of implementation.

7.2 In case of cancellation or downward revision by the customer, the customer shall pay transmission and wheeling charges for first two days of the period for which the cancellation or downward revision of schedule has been sought in accordance with the schedule originally approved by the SLDC and thereafter as per the revised schedule accepted by the SLDC during the period of such cancellation or downward revision.

7.3 In case of cancellation, the operating charges shall be payable by Open Access customer for two days or the period of cancellation in days, whichever is less.

**8.0 Banking of Power due to failure of transmission /Distribution System:**

8.1. If an Open Access Customer is unable to draw the scheduled energy due to unscheduled cut or failure of transmission/distribution system of the licensee, the power injected will be treated as banked power and the Open Access customer will be allowed to adjust the quantum in their monthly billing by Distribution Licensees. In case the Open Access Customer is unable to adjust the banked quantum, then he will be paid by the license as under: -

UI charges

Or

Applicable lowest tariff for any permanent category/sub-category determined by the Commission in its Tariff Order for that year,

Or

The purchase price of the open access customer, whichever is the lowest

8.2. The application for adjustment of banking of power will be submitted to Distribution Licensees with a copy to GM,SLDC, Delhi alongwith certificate form official concerned indicating reason for failure of supply, date and time block for which supply was failed. Case will be processed by Distribution licensees to adjust the banked quantum as para sub clause 8.1above.

**9.0 Open Access by a Generator/Seller**

**Failure of Evacuation System of the licensee:**

A generator in the State may be supplying power outside the State, within the State to an open access customer or sale to the distribution licensee. Non evacuation of power due to breakdown of evacuation system of the licensee will be dealt with as under:

1. **Inter-State sale**

The schedule given by the generator will be passed on to NRLDC by the SLDC. The share of licensee from the central sector projects will be adjusted accordingly and the energy scheduled by the generator to be fed will be delivered to the purchaser/utility. If the licensee is unable to evacuate power from the generator due to failure of evacuation system of the licensee, the generator will pay to the licensee at the tariff rate charged to the purchaser for the energy which could not actually be evacuated by the licensee due to breakdown of evacuation system of the licensee. However, the generator will be compensated by the licensee for Open Access transmission and wheeling charges, if any, paid to the licensee during the period of non-evacuation of power from the generator.

However, the unscheduled interchange of generator would be done by SLDC on weekly basis as per UI/Open access regulation of commission in force.

1. **Sale within the Distribution Licensee**

The payment to the generator will be made as per the power purchase agreement with the Customer/Licensee.However, the Imbalance charges of generator would be done by ALDC Weekly or monthly on the basis of energy details provided by SLDC & as per UI/Open access regulation of commission in force for that OA customer.

1. **Intra-State sale**

SLDC will inform the purchaser about the failure of evacuating system and the purchaser shall stop drawing power within 6 blocks (each of 15 minutes) of this intimation. For the intervening period:

1. The purchaser will pay to the generator at the tariff agreed to between them.
2. The generator will pay to the licensee for the energy supplied by the licensee to the purchaser, at the rate agreed between the generator and the purchaser.
3. The generator will be compensated by the licensee for payment of Open Access transmission and wheeling charges, if any, paid by the generator during non evacuation of power.

Imbalance charges for Mismatch of energy as per energy account issued by SLDC will be charged by Distribution licensees to their OA customer as per provision in UI/Open access Regulation, as amended from time to time.

**10.0 METER READING & ENERGY ACCOUNTING**

10.1 The sellers and buyers involved in the transaction facilitated through open Access shall abide by the Indian Electricity Grid Code and State Grid Code and the instructions given by SLDC as applicable from time to time.

10.2 The customer shall be governed by the commercial mechanism of Un-schedule Interchange (UI) Charges so as to maintain grid discipline as envisaged under the grid code, by controlling the users of the grid in scheduling, dispatch and drawl of electricity.

10.3 Meter data downloading /reading of ABT meters shall be taken by consumer representative & mail it prescribe format to concerned nodal agency, as per State Grid Code and Supply Coderequirements which shall be weekly in case of Generators/Sellers and monthly in case of purchasers. Any change in Multiplication factor/ CT/PT ratio setting shall also be recorded . The downloaded data in the form of CD and hardcopy printout alongwith a statement of consumption as recorded by Main, Check ABT meters and TPT meter, duly authenticated by concerned Licensees shall be sent to SLDC for preparation of UI Energy Account .

10.4 **Unscheduled Interchange (UI) Energy Accounting:**

Open Access power consumption and UI energy account under Open Access in case of Open Access customers shall be prepared by SLDC based on ABT meter data downloaded by distribution licensee in line with State Grid code requirement and daily schedule as released by the NLDC/NRLDC or obligation reports issued by the concerned power exchange after taking in to account the losses. SLDC will upload the data in their web site for provisional billing & shall prepare Weekly UI energy account in case of generators/sellers and Monthly UI energy account in case of purchasers for adjustment of OA power & UI in the energy bill for the succeeding month by Distribution Licensees for final adjustment.

**Note: In case of embedded consumers of Distribution Licensees, the designated ALDC would provide statement of UI energy account along with the energy bill to the said Consumer.**

10.6 The entitlement at the drawl point will be worked out after considering the Transmission and Distribution losses, as determined by the commission in the Tariff order or any subsequent order for that year.

10.7 The UI mechanism, UI charges and its payments will be in line with the prevailing Open Access Regulations, as applicable for Open Access customers.

10.8 STU will organise periodical testing of the ABT meter at Consumers premises for its accuracy/ calibration, clock time synchronization etc. as per the State Grid Code.

10.9 The ABT/Interface meters at Open Access Customer‟s premises shall be open for inspection by any authorized person of STU/Enforcement wing of Distribution Licensees.

11.0 **CHARGES FOR OPEN ACCESS**

The Short Term Open Access Customer shall pay the following charges for Open Access as notified by DERC Regulations and as determined by DERC in its Regulations/ tariff order from time to time.

1. **Transmission and wheeling charges**

Transmission and wheeling charges shall be leviable as determined by DERC. The charges will be levied on the quantum in MWH cleared by the concerned SLDC for bilateral transactions and National Load Despatch Centre (NLDC) in case of collective transactions. Provided that when the capacity has been reserved consequent to bidding, the Open Access charges will be taken as determined through bidding.

Wheeling charges where a dedicated distribution system has been constructed for exclusive use of Open Access customer, the wheeling charges for such open access customer dedicated system shall be worked out by the Distribution Licensee(s) and got approved from the DERC, which shall be borne entirely by such open access customer till such time the surplus capacity is allowed & used for other customer (s) or purposes.

**Note: The Wheeling charges for wheeling of NRSE power shall be governed as per the**

**provisions made in the regulations.**

1. **Cross Subsidy Surcharge**

Cross Subsidy Surcharge shall be leviable as determined by the Commission in its Order/Tariff Order which shall be payable to Distribution Licensee on per unit basis by the open access customers (except those availing power from their captive power plants) based on the actual energy consumed during the month through open access.

1. **Additional Surcharge**

Additional surcharge will be applicable as determined by the Commission as per Regulation 12 of DERC (Open Access) Regulations, 2005 as amended from time to time.

1. **Scheduling & System Operation Charges**

The scheduling and system operation charges @ Rs.2,000/- (Rupees Two thousand only) per day or part of the day for each transaction , as notified by the Commission , shall be recovered from short-term open access customers.

In case of collective transaction, there will be no operating charges on a day if there is no transaction on that day.

1. **UI Charges**

UI charges on account of mismatch between the scheduled entitlement and actual drawl i.e. Over drawl/under drawl in case of purchaser or over injection / under injection in case of generators for any block of time shall be computed in line with DERC (Open Access) Regulations, 2005 as amended from time to time.

1. **Reactive Energy Charges**

The payment for the reactive energy charges for the Open Access customers shall be calculated in accordance with DERC (Terms and Conditions for Determination of Generation, Transmission, Wheeling and Retail Supply Tariff) Regulations approved by the Commission.

Provided that no additional power factor surcharge/incentive shall be leviable on the energy drawn through open access.

1. **Energy Losses:**

The STOA Customer shall bear the losses as under:-

1. **Inter-State Open Access:**

The buyers and sellers of electricity shall absorb apportioned energy losses in the transmission system in accordance with the provisions specified by the Central Regulatory Electricity Commission (CERC), as notified from time to time.

1. **Intra-State Open Access:**

The Transmission & Distribution losses separately for the Intra-State system shall be determined by the Commission separately in its Tariff Orders or any specific order(s) which shall be apportioned in proportion to the actual energy drawl by the Open Access customer. The energy losses shall be compensated by additional injection at the injection point(s).

Note: The Energy losses shall also be applicable to NRSE generators.

**I. Any Other charges**

Any other charges/taxes determined/levied by the Delhi/Central Govt. or Commission from time to time.

**12.0 Billing & Payments:**

12.1 The monthly bill towards net power by Open Access customer shall be raised by Distribution Licensees duly taking into account the Open Access power and UI amount payable to the customer based on the energy account prepared by SLDC office.

12.2 The monthly bills towards Open Access Charges for intrastate transactions or supplementary bill towards interstate transaction not covered in remittances received through power exchange and Nodal RLDC, if any , shall be prepared & issued by Nodal Agency on monthly basis in case of purchasers and weekly in case of sellers. The STOA customer shall make payments as raised in the bills, by due date through electronic transfer (RTGS/NEFT) or Bank Draft drawn in favour of Manager(OA)/SLDC payable at Delhi.

Note: Payments/recoveries towards UI/Imbalance charges in case of over injection or under injection by the generators/sellers and non consumers of STU shall be paid or realized by the Distribution licensee against the bill to be raised based on the energy account supplied by SLDC.

12.3 All the payments shall be accepted up to 3.00 P.M on working days only. In case due date of bill falls on holiday, it shall be accepted on the next working day.

12.4 **No part payment shall be accepted.**

Provided that in the event of an error in bill being admitted the amount of error shall be adjusted by the authority in the next bill rendered to the customer after settlement of the difference or dispute by the Competent Authority.

12.5 **Late payment surcharge**

In case the payment of any bill for charges payable under DRC(Open Access) Regulations, 2005 is delayed by an open access customer beyond the due date, without prejudice to any action under the Act or any other regulation there under, a late payment surcharge at the rate of 1.25% per month or part thereof shall be levied.

12.6 **Default in Payment:**

1. Non-payment of any charge or sum of money payable by the open access customer under the Principal Regulations (including imbalance charges) shall be considered noncompliance of the Principal Regulations and shall be liable for action under section 142 of the Act in addition to action under section 56 of the Act. The STU or any other transmission licensee or a distribution licensee may discontinue open access after giving customer an advance notice of seven days without prejudice to its right to recover such charges by suit.
2. In case of default in payment of charges relating to the SLDC, the SLDC may refuse to schedule power to the defaulting open access customer and direct the licensee concerned to disconnect such customer from the grid.

**13.0 Collection and Disbursement of Charges:**

13.1 In case of collective transactions through power exchange, the customer shall pay applicable charges toward open access transaction to SLDC through concerned power exchange.

In case of bi-lateral interstate transactions, the charges are collected and remitted by concerned RLDC. In case of intrastate transactions, customer shall pay Open Access charges (i.e. SLDC operation, transmission and wheeling charges) directly to SLDC.

13.2 The cross subsidy charge and additional surcharge shall be payable directly to the distribution licensee in whose area of supply the consumer availing open access is located, against the monthly bill raised by Distribution Licensees

13.3 The Scheduling and Operation charges shall be payable to SLDC

13.4 The reactive energy charges as determined by DERC shall be paid to the distribution licensee in whose area of supply the consumer availing open access is located.

13.5 When the intra state transmission system and distribution system is used in conjunction with inter-state transmission system, the provisions regarding collection and disbursement of transmission, wheeling and operating charges contained in Central Electricity Regulatory Commission (Open Access in interstate Transmission) Regulations,2008 will apply.

13.6 All the charges except charges mentioned at Sr. no.13.2 & 13.4 in first instance will be collected by SLDC directly or through RLDC/Exchange. The transmission and wheeling charges as received by SLDC shall be remitted to STU & Distribution licensees respectively, within 15 days of its receipt by SLDC.

**14.0 Payment Security Mechanism**

14.1 In case of short term Open Access (other than the consumers of the licensee), the applicant for open access will open an irrevocable and revolving Letter of Credit (L.C.) in favour of the Distribution Licensee responsible for collection of applicable charges for the estimated amount of various charges for a period of two months.

14.2 Payment security mechanism specified above is intended to ensure recovery of the applicable payment in case of payment default and not as a mechanism for regular payments.

1. The L.C. shall be opened in a Scheduled Bank mutually agreed between customer and the distribution licensee.
2. The L.C. shall be valid for at least three months beyond the entire duration of the transaction.
3. The L.C shall be opened before commencement of Open Access transaction.
4. The LC shall be operated by the Licensees in case of default in payment.
5. All costs/expenses/charges associated with L.C. shall be borne by the applicant/customer.

**15.0 Redressal Mechanism**

All disputes and complaints relating to open Access shall be referred to the Commission. However, the disputes and complaints regarding metering and billing etc. shall be first referred to the Commercial and Metering Committee constituted under the State Grid Code. The Committee shall investigate and endeavor to resolve the grievance within 30 days; and if the Committee is unable to redress the grievance, it shall be referred to the Commission by the Committee or the Open Access Customer.

**16.0 Curtailment Priority**

16.1 The State Load Despatch Centre may curtail power flow on any transmission corridor by cancelling or rescheduling any transaction, if in its opinion cancellation or curtailment of such transaction is likely to relieve any transmission constraint on the corridor or to improve grid security. However, in all such cases of cancellation or curtailment, the SLDC will, as soon as practicable, intimate in writing to the customer the reasons therefor.

16.2 Subject to provisions of the State Grid Code, cancellation/curtailment will be affected as under: -

(i) Short term transaction(s) will be cancelled or curtailed first, followed by medium term transactions, which shall be followed by long term transaction(s).

(ii) Among short term transactions, bilateral transaction will be cancelled or curtailed first, followed by collective transactions.

(iii) Open Access to a distribution licensee will be the last to be curtailed within a category.

(iv) Curtailment will be effected on a pro-rata basis amongst the customers of a particular

category.

16.3 In case of curtailment of the approved schedule by the State Load Despatch Centre, transmission and wheeling charges shall be payable pro-rata in accordance with the curtailed schedule.

16.4 The SLDC Operating charges , as specified under the relevant provisions of DERC(Open Access) Regulations, 2005 as amended from time to time, will be payable irrespective of curtailment, if any.

**Annxure-2**

**ACTIVITY CHART SHOWING TIME LINES FOR SHORT TERM OPEN ACCESS PROCESS**

**ACTIVITY**

1. **Approval of Short Term Open Access: TIME LINE**
2. Submission of Application By Consumer to SLDC 0
3. Verification of field data and Consent By PSPCL within 12 working days
4. Decision by SLDC for conditional approval within 3 working days

**Total: 15 Working Days**

1. **INSTALLATION OF METERING EQPT:**
2. Procurement of Metering Eqpt. by Customer within 30 days
3. Testing by Authorized agency within 10 days
4. Installation at site by consumer/Dist Licensees within 10 days
5. Testing by Dist licensees/STU & issue of Point wise within 10 days

compliance report

1. **Issue of NOC/Standing clearance/Concurrence by SLDC**
2. Submission of application 0 working day
3. First NOC/Standing clearance/Concurrence within 7 working days
4. Subsequent Monthly NOC/SC/Concurrence within 3 working
5. **Down loading of Meter data & preparation of Energy account by SLDC:**
6. Meter reading & down loading of data by Dist Licensees(on due date): 0 Hr.

(Monthly in case of Purchaser & weekly in case of Seller)

1. Submission of downloaded data to SLDC by Dist licensees/STU: within two days
2. Preparation of Energy Account by SLDC for Dist Licensees within 7 days
3. Forwarding of UI Energy Account by Dist licensees within month

Annexure-3

**GUIDELINES FOR INSTALLATION OF METERING EQUIPMENTS ANDARRANGEMENTS AT THE PREMISES OF OPEN ACCESS CUSTOMERS.**

The following guidelines are to be strictly implemented for installation of metering equipments including ABT compliant special energy meters (SEM) of accuracy class 0.2S/0.2 and connecting arrangements for metering of open access customers.

**A) Open Access Customer's Premises (To be complied by OA Customer)**

i) Open Access Customers injecting power in to nsees/STU system shall provide separate line bay with line protection scheme at both ends to take care of any fault on line.

ii) Meters are to be installed directly on line without any isolator in between line and metering CTs/PTs.

iii) Metering CTs/PTs should be exclusively for Dist. licensees metering. Customers may install any additional meter with separate CT/PT.

iv) CTs of 0.2S and 0.2 accuracy class is to be installed where CT output is 5A (in case of 11 kV) and 1A (in case of 66/132/220 kV) respectively. However PTs of accuracy class 0.2 are to be used.

v) Metering CT/PT should be exclusive for metering purpose. These will not be allowed for protection purpose. However metering CT/PT are to be provided with minimum two metering cores, one core to be used for main ABT meter & 2 core to be used for check meter & TPT meter.

vi) The meter should be installed nearest to the CT/PT in separate room, with easy/free access by Dist licensees/STU staff.

vii) All connecting cables from CT/PT to meters should be routed through conduit pipe duly welded.

viii) Un-climbable fencing around metering, CT, PT area is to be provided which will be sealed by STU.

ix) Use of only single ratio metering CTs will be allowed. Multi ratio CTs are not to be used in any case.

x) Metering CTs/PTs, bottom plate needs to be welded after testing/installation at site. 27

xi) Open access customer (non-consumer of Dist licensees) is required to provide two communication channels at his own cost for communication of metering data to SLDC control room.

xii) Consumers who have already installed CT/PT without witness/checking of DistLicen/STU shall get the same tested in the presence of Distlicen/STU representative.

xiii) Meter is not to be installed in the control room of consumer‟s substation.

**B) Compliance by STU**

**At Consumer end:**

i) Installation of check Meter

ii) ABT meter installed for consumers injecting & drawing power to be import/export type of accuracy class 0.2S.

**At Distlicen/STU Sub Station end**

1. Supply should not be tapped from Bus PT. Exclusive line CTs and PTs are to be installed for metering at Sub Station end. CT/PTs provided shall have two metering cores.
2. Meters are to be installed near line bay in Sub Station Yard.

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| Formats for Short-Term | | | | | | | | | | | | | | |
| **FORMAT - ST1** | | | | | | | | | | | | | | |
| **APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS** | | | | | | | | | | | | | | |
|  | |  | | *( to be sent by customer to* ***Nodal Agency****)* | | | | | | |  |  | | |
| **To: Nodal Agency** | | | |  |  | |  |  |  | |  |  | | |
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| 1 | Customer Application No: | | | | *<self generated by Customer>* | | | | Date: | |  | | | |
| 2 | Period of Transaction: | | | | ***< 1 month and less than 1month / day-ahead / same day >*** | | | | | | | | | |
| 3 | Name of Customer\* | | | | *< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user >* | | | | | | | | | |
| *<\* In terms of power transfer>* | | | | |  | |  |  |  |  | |  | | |
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| 4 | Customer Name | | | |  | | | | | | | | | |
| 5 | Registration Code | | | |  | | | | Valid up to | |  | | | |
| *< Registration code shall be as provided by Nodal Agency >* | | | | | | | |  |  | |  |  | | |
|  |  | | |  | |  |  |  |  | |  |  | | |
| 6 | Details of Transaction Party's to Grid | | | | | | | | | | | | | |
| Name of Entity | | | | | | | Injecting Entity | | | Drawee Entity | | | |
| Status of Entity\* | | | | | | |  | | |  | | | |
| Utility in which it Embedded | | | | | | |  | | |  | | | |
| *<\* In terms of Ownership-State Utility/CPP/IPP/Discom/Consumers/Specify, if any other>* | | | | | | | | | | |  |  | | |
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| 7 | Details of Transaction Party's to Grid | | | | | | | | | | | | | |
|  | | |  | |  |  | Injecting Entity | | Drawee Entity | | | | |
| Name of Sub-Station | | | | | Transmission | |  | |  | | | | |
| Distribution | |  | |  | | | | |
| Status of Entity\* | | | | | Transmission | |  | |  | | | | |
| Distribution | |  | |  | | | | |
| Name of Licensee (Owner of S/S) | | | | | | |  | |  | | | | |
| Intervening intra-State Licensee | | | | | | |  | | | | | | |
| Intervening inter-State Licensee | | | | | | |  | | | | | | |
| *< Distribution licensee if required, may treat interface periphery as its connectivity points >* | | | | | | | | | | | |  | | |
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| 8 | Open Access Sought for (Period from date\_\_\_\_\_\_\_\_\_ to \_\_\_\_\_\_\_\_\_) | | | | | | | | | | | | | |
| Date | | | | | Hours | | | | Capacity | | | | |
| From | | | To | | From | | To | | MW\* | | | | |
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|  | |  | |  | |  | | |
| *< MW\* at point of injection>* | | | | | |  |  |  |  |  | |  | | |
| 9 | | | Details of PPA/PSA/MoU | | | | | | | | | | | |
| Name & Address of parties | | | Date of PPA/PSA/MoU | | Validity Period | | | | Capacity  MW\* | | |
| Seller | Buyer | | Commencement | | Expiry | |
|  |  | |  | |  | |  | |  | | |
|  | | |  |  | |  | |  | |  | |  | | |
| 10 | | | Details of Non-Refundable Application Fee Made | | | | | | | | | | | |
| Bank Details | Instrument Details | | | | | | Amount(Rs.) | | | | |
| Type(Draft/Cash) | | | Instrument No. | | Date |
|  |  | | |  | |  |  | | | | |
|  | | | FORMATE ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page- 1 of 3) | | | | | | | | | | | |
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| **11** | | | **I here by authorized Nodal Agency to process said application, in case open access capacity allotted, for day ahead scheduling in accordance with the provision of intra-State ABT** | | | | | | | | | | | |
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| **12** | | | **Declaration** | | | | | | | | | | | |
| **All Entities/Utilities to transaction shall abide by provisions of the Electricity Act 2003(the Act), SERC (Terms and Conditions for Intra State Open Access) Regulations and any other relevant regulation/order/ code as amended from time to time.** | | | | | | | | | | | |
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| Place | | | : |  | |  |  | Signature (With Stamp) | | | | |  |  |
| Date | | | : |  | |  |  | Name & Designation | | | | |  |  |

Enclosures

1) Non-refundable application fee by Demand draft or cash receipt (if payment by cash).

(2) Self–certified copy of PPA/PSA/MoU entered between the parties (buyer and seller) of transaction stating contracted power, period of transaction, drawal pattern, point(s) of injection and drawal etc.

(3) Self-certified copies of unconditional concurrence of STU and/or transmission licensee and/or distribution licensee.

(4) If any other.

**Copy to along with relevant enclosures [except (1) & (2)]:**

(1) General Manager (Commercial) of transmission licensee involved in transaction.

(2) Concerned officer of distribution licensee involved in transaction

(3) Officer in charge of transmission substation involved in transaction.

(4) Any other concerned

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| **For use of SLDC (with Reference to Enrolment of Application)** | | | | | | | | |
| **SLDC Reference ID No.** | | |  | | | | | |
| **Nodal SLDC Approval No.** | | | *< if approved >* | | | | | |
| **Or Reason of Refusal\* (If Refused)** | | |  | | | | | |
| *<\* Nodal Agency may also enclosed supporting documents for the reasons of refusal duly signed on each page >* | | | | | | | | |
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| FORMATE ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page- 2 of 3) | | | | | | | | |
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| Formats for Short-Term | | | | | | | | | | | | | | | | | | | |
| **ACKNOWLEDGEMENT** | | | | | | | | | | | | | | | | | | | |
|  |  |  | *(for office use only)* | | | | | | | |  | |  | | | | |  | |
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| **APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS** | | | | | | | | | | | | | | | | | | | |
| (A) *< to be filled by the customer >* | | | | |  | | |  | | |  | |  | | | | |  | |
| 1 | Customer Application No: | | *<self generated by Customer>* | | | | | | | | Date: | |  | | | | | | |
| 2 | Period of Transaction: | | ***< 1 month and less than 1month / day-ahead / same day >*** | | | | | | | | | | | | | | | | |
| 3 | Name of Customer\* | | *< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user >* | | | | | | | | | | | | | | | | |
| *<\* In terms of power transfer>* | | |  | |  | | |  | | |  | |  | | | | |  | |
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| 4 | Customer Name | |  | | | | | | | | | | | | | | | | |
| 5 | Registration Code | |  | | | | | | | | Valid up to | | |  | | | | | |
| *< Registration code shall be as provided by Nodal Agency >* | | | | | | | |  | | |  | | |  | | | |  | |
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| (B) *< to be filled by* ***Nodal Agency****>* | | | | |  | | |  | | |  | | |  | | | |  | |
| **Date and time of Receipt of Application** | | | |  | | | | | | | | | | | | | | | |
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| Place | : |  | |  |  | | | Signature (With Stamp) | | | | | | |  | | |  | |
| Date | : |  | |  |  | | | Name & Designation | | | | | | |  | | |  | |
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| FORMATE ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page- 3 of 3) | | | | | | | | | | | | | | | | | | | |
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| **ACKNOWLEDGEMENT** | | | | | | | | | | | | | | | | | | | |
| *(to be issued by* ***Nodal Agency*** *to the customer within 24 hours on receipt of application duly filled in)* | | | | | | | | | | | | | | | | | | | |
|  |  |  |  | |  | | |  | | |  | |  | | | | |  | |
| **APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS** | | | | | | | | | | | | | | | | | | | |
| (A) *< to be filled by the customer >* | | | | |  | | |  | | |  | | | | |  | |  | |
| 1 | Customer Application No: | | *<self generated by Customer>* | | | | | | | | Date: | | | | |  | | | |
| 2 | Period of Transaction: | | ***< 1 month and less than 1month / day-ahead / same day >*** | | | | | | | | | | | | | | | | |
| 3 | Name of Customer\* | | *< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user >* | | | | | | | | | | | | | | | | |
| *<\* In terms of power transfer>* | | |  | |  | | |  | | |  | |  | | | | |  | |
|  |  |  |  | |  | | |  | | |  | |  | | | | |  | |
| 4 | Customer Name | |  | | | | | | | | | | | | | | | | |
| 5 | Registration Code | |  | | | | | | | | Valid up to | | | | |  | | | |
| *< Registration code shall be as provided by Nodal Agency >* | | | | | | | |  | | |  | | | | |  | |  | |
|  |  |  |  | |  | | |  | | |  | | | | |  | |  | |
| (B) *< to be filled by* ***Nodal Agency****>* | | | | |  | | |  | | |  | | | | |  | |  | |
| **Date and time of Receipt of Application** | | | | | |  | | | | | | | | | | | | | |
|  |  |  | | | |  |  | | |  | |  | | | | |  | |  | |
|  |  |  | | | |  |  | | |  | |  | | | | |  | |  | |
| Place | : |  | | | |  |  | | | Signature (With Stamp) | | | | | | |  | |  | |
| Date | : |  | | | |  |  | | | Name & Designation | | | | | | |  | |  | |
|  |  |  | | | |  |  | | |  | |  | | | | |  | |  | |
| **N.B:** | **This counterfoil may be scored out and issued to the customer.** | | | | | | | | | |  | | | | |  | |  | |
|  |  |  |  | |  | | |  | | |  | | | | |  | |  | |
| FORMATE ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page- 3 of 3) | | | | | | | | | | | | | | | | | | | |

FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -1 of 3)

*FORMATS for Short-Term*

**FORMAT-ST2**

**APPROVAL FOR SHORT-TERM OPEN ACCESS**

*(to be issued by SLDC)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Nodal SLDC Approval No. | / (R-0) | Date |  |

*<’R-0’ states original approval with revision no. - zero>*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1 | Customer Application No. | *<as provided by customer on FORMAT-ST1>* | Date |  |
| 2 | Period of Transaction | *<1 month and less than 1 month/day-ahead/same day>* | | |
| 3 | Nature of Customer\* | *<seller/buyer/captive user/trader(on behalf of seller/buyer/captive user)>* | | |

*<\* In terms of power transfer>*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 4 | Customer Name |  | | |
| 5 | Registration Code |  | Valid up to |  |

|  |  |  |  |
| --- | --- | --- | --- |
| 6 | Details of Transaction Party’s to Grid | | |
|  |  | Injecting Entity | Drawee Entity |
| Name of Entity |  |  |
| Status of Entity\* |  |  |
| Utility in which it is Embedded |  |  |

*<*\**In terms of ownership- State Utility/CPP/IPP/ISGS/Discom/Consumer/specify, if any other>*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 7 | Details of Injecting/Drawee Connectivity with intra-State System | | | |
|  |  | | Injecting Entity | Drawee Entity |
| Name of Sub-station | Transmission |  |  |
| Distribution |
| Voltage Level | Transmission |  |  |
| Distribution |
| Name of Licensee (Owner of S/S) | |  |  |
| Intervening intra-State Licensee | |  | |
| Intervening inter-State Licensee | |  | |

*<Distribution licensee, if required, may treat interface periphery as its connectivity points>*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 8 | Open Access Sought for ( Period from date \_\_\_\_ to date \_\_\_\_) | | | | Revision No. | | 0 |
|  | Date | | Hours | | Capacity(MW) | | MWh |
| From | To | From | To | Applied | Allotted |
|  |  |  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  | Total MWh | |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| 9 | Details of Bidding *<only in case of Bidding>* | | | | | |
|  | Details of  Intra-State System | Date | | Hours | | Applicable Rate  (Rs./kWh) |
| From | To | From | To |
| Transmission System |  |  |  |  |  |
| Distribution System |  |  |  |  |  |

1. The approved transaction shall be incorporated in day-ahead scheduling in accordance with the provisions of intra-State ABT. *<only in case of approval>*
2. Please submit following to SLDC with details on format [FORMAT-ST5] in the manner as specified in procedure: *<only in case of approval>*
3. SLDC Fee Amount Rs. \_\_\_\_\_\_ Due Date: \_\_\_\_\_\_
4. Security Amount Rs. \_\_\_\_\_\_ Due Date: \_\_\_\_\_\_

FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page -1 of 3)

1. The approval is subject to provisions of SERC (Terms and Conditions for Intra State OpenAccess) Regulations, 2010 and any other relevant regulation/order/code as amended andapplicable from time to time. *< only in case of approval >*
2. No approval is being granted on account of *<only in case of rejection>*

*< SLDC shall convey specific reasons if open access is denied and may also enclose supportingdocuments to support the same duly signed on each page >*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Place Signature (with stamp)**

**Date Name & Designation**

**Enclosures**

1. Schedule of payments *< only in case of approval >*
2. If any other

**Copy to along with enclosures**

1. Customer
2. Managing Director of transmission licensee involved in transaction.
3. Managing Director of distribution licensee involved in transaction or as per clause 14.8 ofprocedure.
4. Officer in charge of transmission substation involved in transaction.
5. Officer in charge of distribution substation involved in transaction.
6. Any other concerned.

FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page- 2 of 3)

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| FORMATS for Short-Term  ENCLOSURE to FORMAT- ST2 | | | | | | | | | | | | |
| **SCHEDULE OF PAYMENTS** | | | | | | | | | | | | |
| (to be enclosed for each month by SLDC along with FOMAT–ST2) | | | | | | | | | | | | |
|  |  |  |  | | | | |  | | | |  |
|  | Nodal SLDC Approval No. | / (R-0) | | | | | | Date | | | |  |
| < ‘R-O’ states original approval with revision no. - zero> | | | | | | | | | | | | |
|  |  |  |  | | | | | | |  | |  |
| 1 | Customer Application No. | *<as provided by customer on FORMAT-ST1>* | | | | | | | Date | | |  |
| 2 | Period of Transaction | ***<1 month and less than 1 month / day-ahead / same day>*** | | | | | | | | | | |
| 3 | Nature of Customer\* | *< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >* | | | | | | | | | | |
| <\* In terms of power transfer> | | | | | | | | | | | | |
| 4 | Customer Name |  | | | | | | | | | | |
| 5 | Registration Code |  | | | | | Valid upto | | | |  | |
|  |  |  |  | | | |  | | | |  | |
| 6 | Tentative\* Monthly Payment Schedule for Short-Term Open Access Charges( Period: *date* to *date* ) | | | | | | Month | | | |  | |
|  | Payment Chargeable for | | | Rate (Rs./kWh) | MWh | | Total (Rs.) | | | | | |
| (1) Intra-State Network | | |  |  | |  | | | | | |
| (a) Transmission Charges | | |  |  | |  | | | | | |
| concerned Transmission Licensee | | |  |  | |  | | | | | |
| Intervening intra-State Licensee(if any) | | |  |  | |  | | | | | |
| (b) Wheeling Charges | | |  |  | |  | | | | | |
| concerned Distribution Licensee | | |  |  | |  | | | | | |
| Intervening intra-State Licensee(if any) | | |  |  | |  | | | | | |
| (c) Surcharge | | |  |  | |  | | | | | |
| concerned Distribution Licensee | | |  |  | |  | | | | | |
| (d) Additional Surcharge | | |  |  | |  | | | | | |
| concerned Distribution Licensee | | |  |  | |  | | | | | |
| (e) SLDC Charges | | |  |  | |  | | | | | |
| SLDC | | |  |  | |  | | | | | |
| (2) Inter-State Network | | |  |  | |  | | | | | |
| Transmission Charges | | |  |  | |  | | | | | |
| Intervening inter-State Licensee(if any) | | |  |  | |  | | | | | |
| Total Monthly Payment Amount (Rs.) | | | | | |  | | | | | |
|  |  |  |  | | |  | | | | | |  |
| Place |  |  |  | | | Signature (with stamp) | | | | | | |
| Date |  |  |  | | | Name & Designation | | | | | | |
|  |  |  |  | | |  | | | | | |  |
| \* Tentative on the basis of MWh mentioned in application which may due to cancellation or downward revision subject to approval of Nodal Agency. | | | | | | | | | | | | |
|  |  |  |  | | | | | |  | | |  |
| FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page -3 of 3) | | | | | | | | | | | | |

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| *FORMATS for Short-Term* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FORMAT- ST3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| CONGESTION INFORMATION AND INVITATION OF BIDDING | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| *(to be invited by SLDC)* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SLDC Bidding Invitation No. \_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |  | | | |  | | |
|  | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  | | | | |  | | | |  | | |
| 1 | | Customer Application No. | | | | | | | | <as provided by customer on FORMAT-ST1> | | | | | | | | | | | | | | | | | | | | | | | | | Date | | | |  | | |
| 2 | | Period of Transaction | | | | | | | | ***<1 month and less than 1 month / day-ahead / same day>*** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | Nature of Customer | | | | | | | | < seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) > | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <\* In terms of power transfer> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | Customer Name | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | Registration Code | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | Valid upto | | | |  | | |
|  | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  | | | | |  | | | |  | | |
| 6. The anticipated congestion (transformer and electric line/link) is as follow: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Network Corridor | | | | | | | | | Congestion Period | | | | | | | | | | | | | | | | | | | Margin/ Capacity Available | | | | | | | Total Capacity Applied by all the Customers | | | | | | |
| Sub-station with Transformation Capacity | | | | | | Electric Line/Link with Capacity | | | Date | | | | | | | | | | Hours | | | | | | | | |
| From | | | | | To | | | | | From | | | To | | | | | | MW | | | | | | | MW | | | | | | |
| Intra-State Transmission System | | | | | | | | |  | | | | |  | | | | |  | | |  | | | | | |  | | | | | | |  | | | | | | |
|  | | | | |  | | | | |  | | |  | | | | | |  | | | | | | |  | | | | | | |
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| Intra-State Distribution System | | | | | | | | |  | | | | |  | | | | |  | | |  | | | | | |  | | | | | | |  | | | | | | |
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| Inter-State Transmission System | | | | | | | | |  | | | | |  | | | | |  | | |  | | | | | |  | | | | | | |  | | | | | | |
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| 7. In view of above please submit bid on format [FORMAT-ST4]. The details for bidding are hereunder | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Bid Invitation Date | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | Time | | |  | | | | |
| (b) Bid Submission Date | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | Time | | |  | | | | |
| (c) Bid Opening Date | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | Time | | |  | | | | |
| (d) Bids Invited for | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Network Corridor | | | | | | | | Congestion Period | | | | | | | | | | | | | | | | | | Margin/Capacity Available for Bidding | | | | | | | | | | | Floor Price | | | | | |
| Sub-station | | | Electric Line/Link with Capacity | | | | | Date | | | | | | | | Hours | | | | | | | | | |
| From | | | | To | | | | From | | | | | To | | | | | MW | | | | | | | | | | | Rs./kWh | | | | | |
| Name of Transmission System | | | | | | | |  | | | |  | | | |  | | | | |  | | | | |  | | | | | | | | | | |  | | | | | |
|  | | | |  | | | |  | | | | |  | | | | |  | | | | | | | | | | |  | | | | | |
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| Name of Distribution System | | | | | | | |  | | | |  | | | |  | | | | |  | | | | |  | | | | | | | | | | |  | | | | | |
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| 8. In case of non submission of bid, application shall be deemed to have been withdrawn and shall not be processed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  |  | | | | | | | | |  | | |
| Place | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  | Signature (with stamp) | | | | | | | | | | |
| Date | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  | Name & Designation | | | | | | | | | | |
| To: Customers with their reference’s < as provided by customers at sl.no. 1 on FORMAT-ST1 > | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | | | | |  | | |
|  | |  | | | | | | | |  | | | | | | |  | | | | | |  | | | | | | |  |  | | | | | | | | |  | | |
| FORMAT-ST3: CONGESTION INFORMATION AND INVITATION OF SNAP BIDS (Page -1 of 1) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FORMATS for Short-Term | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FORMAT- ST4 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BID PROPOSAL** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (to be submitted by the customer to NODAL AGENCY) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Ref: NODAL AGENCY Bidding Invitation No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | | | | |  | | | |  | | | | |  | | | |  | | |  | | | | | | | | |  | | | | |  | | |
| To: **NODAL AGENCY,** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | | | | | | |  | |  | | | | |  | | | |  | | |  | | | | | | | | |  | | | | |  | | |
| 1 | | | Customer Application No. | | | | | | | | | *<as provided by customer on FORMAT-ST1>* | | | | | | | | | | | | | | | | | | | | | | | Date | | | | |  | | |
| 2 | | | Period of Transaction | | | | | | | | | ***<1 month and less than 1 month / day-ahead / same day>*** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | Nature of Customer\* | | | | | | | | | *< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <\* In terms of power transfer> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | Customer Name | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | Registration Code | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | Valid upto | | | | |  | | |
|  | | |  | | | | | | |  | | | |  | | | | |  | | | |  | | |  | | | | | | | | |  | | | | |  | | |
| 6. In reference to above bidding invitation, I hereby submit my bid as follows: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Bidding Details as provided by NODAL AGENCY | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Bid Price to be Quoted by Bidder | | | | | |
| Intra-State Network Corridor | | | | | | | | Congestion Period | | | | | | | | | | | | | | | | Margin/Capacity Available for Bidding | | | | | | | | Floor Price | | | | |
| Sub-station | | | ElectricLine/Link | | | | | Date | | | | | | | | | Hours | | | | | | |
| From | | | | To | | | | | From | | | To | | | | MW | | | | | | | | Paise./kWh | | | | | Paise/kWh\* | | | | | |
| Name of Transmission System | | | | | | | |  | | | |  | | | | |  | | |  | | | |  | | | | | | | |  | | | | |  | | | | | |
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| Name of Distribution System | | | | | | | |  | | | |  | | | | |  | | |  | | | |  | | | | | | | |  | | | | |  | | | | | |
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|  | | |  | | | | |  | | | |  | | | | |  | | |  | | | |  | | | | | | | |  | | | | |  | | | | | |
| < \* Bidder shall quote price (rounded-off to whole number) in denotation of floor price > | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 7. I do hereby agree that determined bid price(s) shall be transmission and/or wheeling charges. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Place | | |  | | | | | | |  | | | |  | | | | |  | | | |  | | |  | | Signature (with stamp) | | | | | | | | | | | | | | |
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| FORMAT-ST4: BID PROPOSAL (Page -1 of 1) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| FORMATS for Short-Term |
| FORMAT- ST5 |

FORM FOR THE CONSENT OF OPEN ACCESS BY DISTRIBUTION LICENSEES TO SLDC

Memo. No: Date:

Distribution Licensees Reference No. Date

Name of the firm

Account No

Address of the firm

Open Access admissible during off peak hrs

Open Access admissible during peak load hrs

Period /Duration for Open access Consented

From : (Date/Time)

To: : (Date/Time)

Consent Valid up to :

Any other Specific Conditions for OA Consumer

Concerned Official/Open Access

Distribution licensees

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| FORMATS for Short-Term |
| FORMAT- ST5 |

**SAMPLE LETTER FOR CONDITIONAL APPROVAL FOR GRANT OF SHORT TERM OPEN ACCESS**

To

M/s \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Memo No. \_\_\_\_/SO/OA

Dated:

**Sub: Grant of Open Access for purchase/sale of power by M/s \_\_\_\_\_\_\_\_\_\_\_\_\_**

**A/C No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.**

This refers to your application received in this office on dated \_\_\_\_\_\_\_\_ for grant of Short Term Open Access for Purchase/Sale of power through Bilateral/power exchange. The short term open access for purchase/sale of power up to \_\_\_ MW is hereby allowed , as requested by you, in line with Open Access Regulations,2005, as amended from time to time, as per agreed terms & conditions by you and subject to compliance of conditions/ formalities asunder\*: -

1. Your firm will get installed ABT compliant special energy meter of accuracy class 0.2S at your premises at your own cost in addition to TOD meter already installed as per prescribed metering guidelines and the state grid code. You will supply copies of test report of the meter from concerned official of Dist. Licensees and installation report along with site report by STU and compliance report for completion of formalities as per metering guidelines.
2. Your firm will restrict its total demand to be within its sanctioned contract demand during non-peak load hours and during peak load restriction hrs the firm will be eligible only to draw total power admissible as per peak load exemption granted by Licensees. This implies that during peak load restriction hours the total drawl from all sources will be limited to peak load exemption allowed.
3. No relaxation in monthly minimum charges, peak load exemption charges etc. shall be admissible against grant of this Open Access and you will be liable to pay all the charges as consumer of Licensees and all the charges and levies applicable on power purchased under Open Access.
4. Your firm will be liable to pay cross subsidy surcharge, additional surcharge, voltage surcharge, reactive energy charges and any other charges applicable on Open Access customers in accordance to Open Access regulations, 2005 as amended from time to time.
5. All Provisions of CERC/DERC open access Regulations as well as IEGC/State Grid Codes shall be applicable during the duration of short term open access.
6. Your firm will restrict its power purchase under open access keeping in view the regulatory measures/power cuts imposed by Licensees on feeders supplying power to your unit. In case the scheduled power as per capacity in MW reserved for open access as per NOC/Standing Clearance/Concurrence of an embedded open access customer is curtailed or cancelled by SLDC as per Regulation 13 of DERC (Open Access) Regulations, 2005, the embedded open access customer shall immediately reduce/stop the drawl of power thro’ open access. In case the customer continues to avail power thro’ open access corresponding to originally reserved capacity, SLDC shall suspend the NOC/Standing Clearance/Concurrence of such customer for 3 days for each day’s default. All such cases of default shall also be submitted by SLDC to the Commission.
7. In case the customer is connected to Mixed feeder, open access shall be allowed subject to the condition that the customer agree to rostering restrictions imposed by Licensees/STU on such feeders.
8. You will supply copy of the agreement signed with the traders for purchase of power through open access.
9. Your firm will ensure that this short term open access approval shall not, in any case, violate the terms and conditions of the PPA(s) signed by you with any other agency.
10. In case of Sellers/Generators/Non Consumers of Dist Licensees shall be required to furnish a security deposit towards Open Access charges for a period of two months and UI charges for 50% of contracted power for 14 days @ Rs.8.73.unit (Amounting to Rs.-------) in the form of irrevocable (weekly for generators/sellers) revolving self-recouping Letter of Credit (LC) valid for at least 3 months beyond the period of Open Access transaction in favour of concerned agency.
11. ……….………(Any other specific condition ) imposed by SLDC/STU/Dist. Licenses

You are requested to complete the above formalities and furnish requisite documents along with acceptance to the above condition so that further necessary action on your request can be taken by this office.

This approval is subject to compliance of formalities within 60 days of issue of this letter failing which the approval will be deemed to be cancelled.

This issues with the approval of competent authority.

Manager./Open Access,

for: GM(SLDC),

SLDC, Delhi.

Endst.No.\_\_\_/SO/OA Dated \_\_\_\_\_\_\_\_\_\_

Copy of the above is forwarded to Concerned official w.r.t. his office memo no. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ dated \_\_\_\_\_\_\_\_\_ for further necessary action.

Manager./Open Access,

for: GM(SLDC),

SLDC, Delhi.

CC:

Concerned Official of Dist Licensees/STU

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| FORMATS for Short-Term |
| FORMAT- ST6 |

**UNDERTAKING TO BE FURNISHED BY THE CUSTOMER FOR SHORT TERM**

**PURCHASE/SALE OF POWER UNDER OPEN ACCESS**

*(To be stamped on Rs.100/- non judicial stamp paper)*

I/ We, *name* on behalf of M/s *name of the firm*, A/c No. having its Registered office at *address* and Works at *address* undertake as under:*-*

1. I/We will get installed ABT compliant special energy meters of accuracy class 0.2S as main meter at our premises at our own cost in addition to TOD meter already installed as consumer of Licensees& minimum, double core CT/PT's of accuracy class 0.2S/0.2 as per prescribed metering guidelines. I/ We will supply copies of test report of the meter from Licensees/STU and installation report along with site report and compliance report for completion of formalities as per guidelines.
2. I/We will restrict our total demand to be within our sanctioned contract demand during on peak load hours and during peak load restriction hrs I/We will be eligible only to draw Total power admissible as per peak load exemption granted by Licensees. This implies that during peak load restriction hours the total drawl from all sources will be limited to peak load exemption allowed.
3. I/We will restrict our power purchase under open access keeping in view the regulatory measures/power cuts imposed by Licensees/STU on feeders supplying power to our unit. I/We agree that in case the scheduled power as per capacity in MW reserved for open access as per NOC/Standing Clearance/Concurrence is curtailed or cancelled by SLDC as per Regulation 13 of DERC (Open Access) Regulations, 2005, I/We shall immediately reduce/stop the drawl of power thro’ open access failing which SLDC may suspend the NOC/Standing Clearance/Concurrence for 3 days for each day’s default.
4. I/We agree that all equipment connected to the State Transmission/Distribution System shall be of such design and construction to enable to meet the requirement of performance standards as specified in Grid Codes for the concerned inter connection point(s). I/We shall ensure that our loads do not cause violation of these standards. Open access on the transmission system and wheeling on distribution system shall be subject to transmission and distribution system constraints. The provision of Open access shall remain suspended / curtailed during such period at the absolute discretion of SLDC/STU/Dist. Licensees.
5. I/We shall not be liable for any claim for any loss or damage whatsoever arising out of failure due to force majeure events such as fire, rebellion, mutiny, civil commotion, riot, strike, lockout, forces of nature, accident, act of God and any other reason including grid’s failure beyond the control of STU/Dist. Licensees.
6. I/We agree to rostering restrictions imposed by Dist. Licensees/STU on our feeders (Applicable only for customers connected to Mixed feeder).
7. I/We undertake that Short Term Open access to be granted or allowed to us is liable to be rejected or cancelled/withdrawn in the event of default but not limited to the following: -
8. Having being declared as insolvent or bankrupt.
9. having defaulted in clearing outstanding dues of SLDC,ST/ist. Licensees for more than two months.
10. Feeder status falling under ineligible category for grant of Open Access.
11. Withdrawal of consent by Distribution Licensee
12. I/We will supply copy of the agreement signed with the traders for Sale/ Purchase of power through open access. Any change of name/agreement with the trader, advance intimation shall be given by the customer which shall be allowed subject to the prescribed business rules of the power exchange only after the grant of permission of competent authority of SLDC.
13. I/We will book the Open Access corridor on intra state and interstate transmission system and seek alterations, if required, as per Open Access Regulations and procedure(s).
14. I/We will abide by the provisions of the State Grid Code and Open Access Regulations issued by DERC& CERC, as amended from time to time.
15. I/We will operate a 24 hour control room and communicate real time power purchase data through fax/telephone to control room of SLDC as well as Concerned Dist. Licensees.
16. I/We understand that no relaxation in Monthly minimum charges or peak load exemption charges as consumer of Concerned Dist licensees is admissible to us for purchase of power under Open Access.
17. I/We will be liable to pay cross subsidy surcharge, Additional surcharge, voltage surcharge, reactive energy charges and any other charges applicable on Open Access customers in accordance to DERC Open Access regulations, 2005, as amended from time to time.
18. In case any difference in wheeling, transmission, operating or any other charges remitted by the power exchange and actual applicable charges, SLDC/STU/Dist. Licensees reserves the right to charge the difference in bill raised to the customer. I/We undertake to pay the total bill including these charges.
19. I/We will make all payments associated with Short Term Open Access to the concerned office SLDC/STU/Dist. licensees remitted only by Bank Draft or RTGS/NEFT in favour of concerned Official/SLDC payable at Delhi up to 4.00 P.M on working days. After due date I/We agree to pay LPS (Late payment surcharge) @ 1.25 % per month or part thereof on total amount of the bill. In case of non-payment of dues GM/SLDC reserves the right to cancel NOC for Open Access.
20. I/We will bear the transmission and distribution losses for the Intra-State/ Inter-State system, as notified by the State/Central Electricity Regulatory Commission (DERC/CERC) from time to time.
21. Any taxes/levies applicable on Open Access transaction as imposed by Central and State Governments from time to time shall be borne by me/us.
22. I/We will apply for Standing Clearance/ No Objection Certificate / Concurrence / Consent for purchase of power through Power Exchange or through bilateral agreement minimum 3 working days before the commencement of deemed date of purchase of power through Power Exchange. I/We have noted that Standing Clearance will be allowed by SLDC maximum upto one month.
23. I/We agree to provide and meet with all metering, protection and communication requirements, as specified by the Commission /STU/SLDC/Licensees from time to time.
24. I/We may surrender the capacity allotted to me/us by serving a notice to Licensees/RLDC as per DERC/CERC regulations, if I/We are unable or not in position to utilize the full or substantial part of the capacity allotted tome/us. In case our capacity has been reduced or cancelled or surrendered, I/We shall bear Open Access charges as per prevalent CERC/DERC open access regulations.
25. I/We undertake to co-ordinate with concerned officials of SLDC/Licensees to ensure meter data reading/downloading as per fixed schedule
26. I/We undertake to maintain grid discipline and ensure the energy drawls as per the energy scheduled at the delivery point.
27. I/We agree that the disputes and complaints regarding metering and billing etc. will be first referred to the Commercial and Metering Committee under the State Grid Code. The Committee shall investigate and endeavor to resolve the grievance and in case of its non re-dressal within stipulated period or any dispute it shall be referred to the commission.
28. I/We agree that SLDC/Dist Licensees reserves their right to amend the above terms and conditions within the prescribed Regulatory framework.

Authorized Signatory

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place: Designation:

Date: Seal:

Note:

1. The undertaking is to be signed by the applicant/consumer on each and every page. Two set of the undertaking are to be furnished to this office. One copy will be retained in the office of GM(SLDC),and second copy will be supplied to Dist. Licensees while operationalising the Open Access.

ii) Copy of company/Board resolution or authority letter in favour of authorized signatory to be attached.

**ND/OPS/PM&CC/SLDC**

**July 22, 2011**

**The Dy. General Manager (SO),**

**State Load Dispatch Center,**

**Delhi Transco Ltd.,**

**Behind Zakir Hussain College,**

**33kv Grid Sub-Station building,**

**Minto Road,**

**New Delhi-110002**

Kind Attn. : **Sh. V.Venugopal**

**Sub: Implementation of Inter-State Open Access in Delhi**

Dear Sir,

This is in reference to the MOM issued by DGM (SO), SLDC vide Ref No. F./DTL/207/2011-12/DGM(SO)/404, dated 13.07.2011 regarding above cited subject matter.

In this regard we would like to mention that, the objective of the Inter State open Access as per provisions made in the Electricity Act-2003 and Regulations issued by CERC/DERC is to development of electricity industry, promoting competition therein protecting interest of consumers. Consumers intend draw power only during part of the day through open access is angling to indulge in gaming. Selectively buying of power for certain hours at lower rate benefit the open access consumers at the cost of cross-subsidizing by the other consumers by paying higher tariff. This is certainly not the intension of the Legislature and Regulations while promoting open access.

Further, distribution licensees are arranging power well in advance to avoid shortfall and such type of transactions will lead to undrawl by discoms and burden will face by other consumers. On the other hand, if no arrangement is made and OA consumers does not able to arrange power, discoms would overdraw which may either attract penalty or end up procuring power at higher rate, which will again add to the woes of small consumers as they will have to pay all this additional cost.

It is therefore requested to kindly look in to this matter during finalization of the procedure so that process would be fair on the part of other consumers including domestic consumers and promote the interstate open access, as intended in the Act / Regulations.

Other observations in this matter are enclosed for further discussion and review please.

Thanking you,

Yours faithfully

for **North Delhi Power Limited**

**Ashis Kumar Dutta**

**Asst. General Manager (Power Management)**

**CC -**

1. **The Secretary, DERC**
2. **AVP (PMG), BRPL**
3. **AVP (PMG), BYPL**

**NDPL Observation on the Draft Open Access Guidelines**

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| S.No. | Clause No. of Guidelines | Amendment Suggested | Justification |
| 1. | 9.1.2.4.h – Miscellaneous charges in respect of metering and other requirements as necessary under inter-state ABT mechanism. | The charges will be borne by the OA customer and sharing of charges shall be as decided by the DERC from time to time. | To avoid any ambiguity, sharing of charges is to be decided by DERC. |
| 2. | 9.1.2.4.j – Additional surcharges | The Commission has to decide the component of the additional surcharge upfront for various consumer categories/load. | The order dated 29.08.2008 clarifies that additional surcharge would be applicable on case to case basis as per the decision of the Hon’ble Commission. We would like to submit that the additional surcharges is a significant cost and should be known upfront to the consumer intended to apply for the Open Access otherwise entire process would be held up just for determination of additional surcharge. Moreover, consumer should know all the charges in advance in order to take a decision on contracting for open access. |
| 3. |  | Separate Settlement & Balancing mechanism needs to be incorporated and hence related provisions including metering specifications needs to be incorporated. | Guidelines with respect to the Settlement, metering, voltage level, metering specification and accounting of UI & reactive energy needs to be laid down clearly before implementing such procedure. Presently, in Delhi consumer’s meters are not complied with the 15 min. reactive energy recording. Even ABT complied meter, which is proposed to be installed for OA consumers, records reactive energy only for the day, not in 15 min intervals. In such a situation it needs to be decided that how reactive energy in 15 min time block is to be recorded and billed. |
| 4. |  | Metering Issues and related settlement | Billings of consumption from NDPL are in KVAh whereas the power obtained through IEX/Bi-lateral transactions shall be billed on KWh basis. It needs to be worked out how the consumption from two different sources to be metered along different parameters could be harmonized for billing purposes. Further, how such OA-cum-NDPL customer’s consumption can be metered through same or different meters needs to be clarified. Even ABT complied meter, which is proposed to be installed for OA Consumer, is not capable to records KVAh at 15 min intervals. It needs to be decide how two different component (KVAh & KWh) can be billed during different time slots of a day. |
| 5. |  | Demarcation of Responsibilities | Clear demarcation of responsibilities of various parties in the Open Access process like distribution licensee, SLDC and Consumer needs to be listed down in the draft procedure. This would help the consumer and other parties and shall be helpful in the smooth operations of the Open Access regime in Delhi. |
| 6. |  | Revision of various values of last DERC order, as per current estimates | Existing order of DERC dated 29.08.2008 chalked down various charges and losses which are required to be paid by an Open Access consumer. Since all such charges and losses had undergone significant revisions from previous level, existing order needs to be updated in order to bring them at present day charges and losses levels. |
| 7. |  | Stand-by Charges | Presently, Stand-by Charges are not defined by the Hon’ble Commission in its order dated 29.08.2008. However, Forum of Regulators defined these charges in the open access regulation and hence such charges should also be included and determined by the Hon’ble Commission.  As already exist in other states, we proposed that, “In case of outages of generators supplying to OA consumers under open access, standby arrangement should be provided by the distribution licensees for a maximum period of 42 days in a year subject to the load shedding as is applicable to the embedded consumers of the licensees. In such case, licensee shall be entitled to collect tariff under Temporary Rate of charges for that category of consumers in the prevailing rate schedule. |